HEROS for HOME - NCSHA

JANUARY 2017
LAUREN B MCNAMARA

Quick Recap

- HEROS replaces HUD's current paper-based environmental review process with a comprehensive online tool.
- HEROS walks users through the entire environmental review process from beginning to end, including compliance with related laws and authorities.
 - Generates the Environmental Review Record (ERR)
- Once fully implemented, it can be used by all Responsible Entities (REs), non-RE recipients, and consultants who currently prepare HUD environmental reviews.

Reminder - Using HEROS <u>now</u> and giving feedback will help HUD improve the system and make sure that it works for <u>you</u>.

HEROS User Guide

https://www.hudexchange.info/resource/3150/heros-user-guide



A Guide for Using HUD Environmental Review Online System (HEROS) Part 58

Version: August 2016

HEROS "How To" Videos

https://www.hudexchange.info/environmental-review/heros-e-tutorials/

Topic Areas:

- Introduction to HEROS
- Performing an Environmental Review in HEROS
- Performing a Tiered Environmental Review in HEROS
- HEROS Administration and Special User Rules

HEROS Frequently Asked Questions

https://www.hudexchange.info/heros/faqs

FAQs



HEROS

Filters

Keywords

General

Navigation

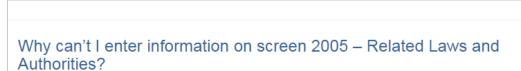
Reviews

Dolated Laws 9

Posting and Finalizing

HEROS FAQs

FAQ Keyword Search:



Topics: Date Published: June 2016

The radio buttons on screen 2005 - Related Laws and Authorities are not intended to be "clickable." As you complete each screen, it will fill in based on your responses within the screen. Be sure to click on the name of each factor in the left column to get to the individual screen for that factor. If you cannot edit within the ...

Export (xls)

Viewing 20 of 41 FAQs

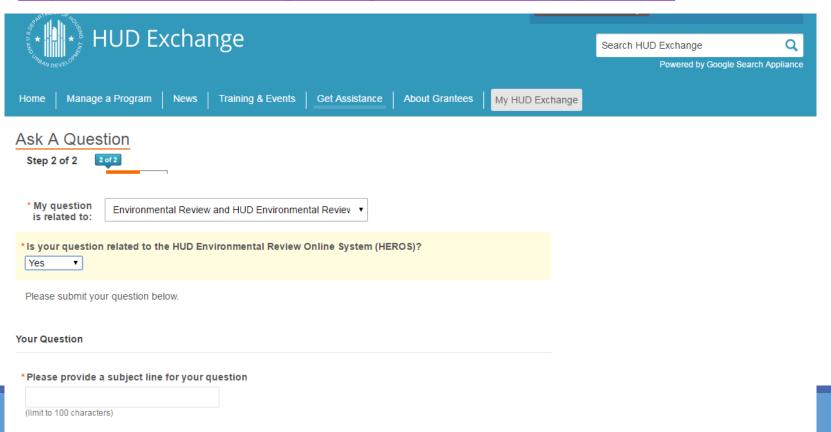
How will I know when my review is approved by HUD?

Date Published: June 2016

When HUD has approved the Authority to Use Grant Funds in HEROS, an email notification will be sent to you from your HUD

HUD Exchange 'Ask A Question'

https://www.hudexchange.info/get-assistance/my-question/



Future Enhancements

Changes are coming to HEROS in Spring, 2017!

- Improvements will focus on new user role functionality to accommodate more partners, including
 - Public Housing Authorities
 - Non-profits
 - Lenders
 - Consultants & contractors
- Making sharing reviews easier
- Improving the 7015.15 screen
- Allowing additional mitigation measures
- New & improved reports
- Clarifying text and requirements

HEROS for HOME

- Since HEROS opened in 2014, close to 500 reviews for HOME projects have been completed in the system!
- At this time PJs acting at the Responsible Entity can complete the review in HEROS.
- It is expected that HEROS will become mandatory in 2018.

HEROS for HOME

- Can two PJs share a review in HEROS?
 - Kind of...
 - If one PJ is taking the lead as the Responsible Entity, the cooperating PJ can use HEROS to document that the review was done by the lead PJ.
 - If one PJ is the RE, the other PJ can be a partner in the review and provide environmental review documentation.
 - If the PJs are both REs sharing environmental review information, they cannot share environmental reviews (sharing documentation can be done outside the system)

HEROS for HTF

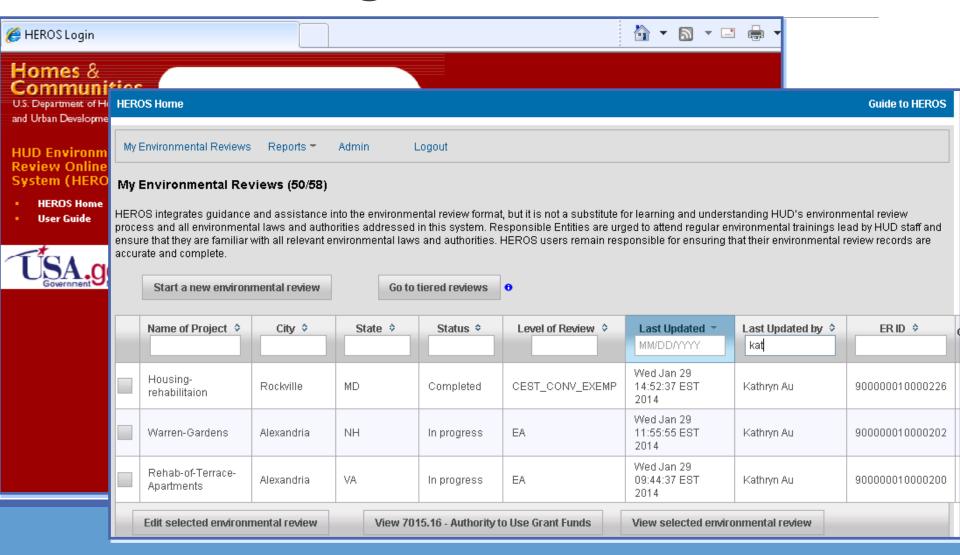
- Due to the Environmental Provisions differences from Part 58, HEROS should not be used for projects using HTF funding.
- If combining HTF and other HUD funding on a project a Part 50/58 environmental review can be completed outside of HEROS (the review needs to meet the Environmental Provisions)

HEROS Demo

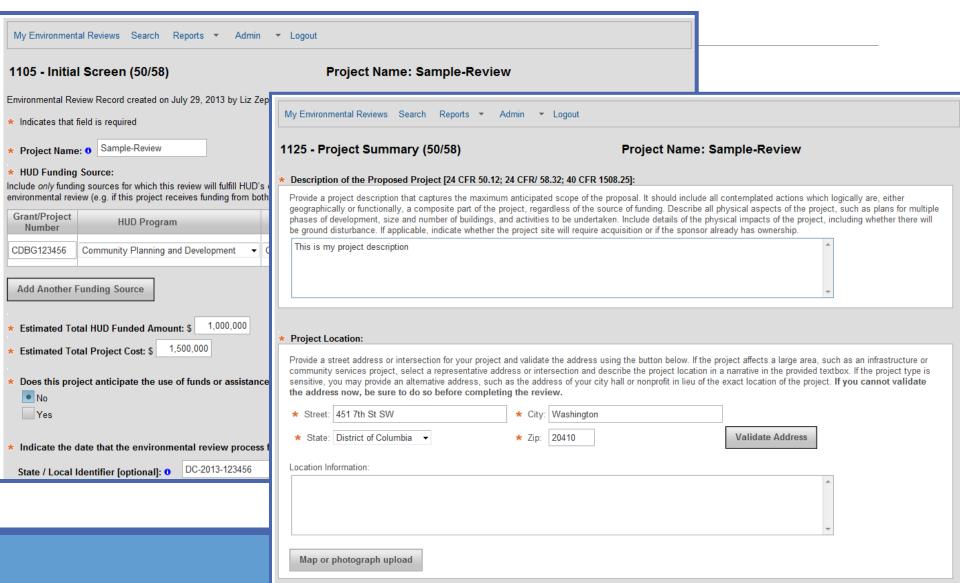
How Does It Work?

Maintain Environmental Review Record (ERR) Define the Project Determine the Level of Review Perform the Analysis Finalize Review **Post-review Considerations**

HEROS Login and Dashboard



Defining the Project



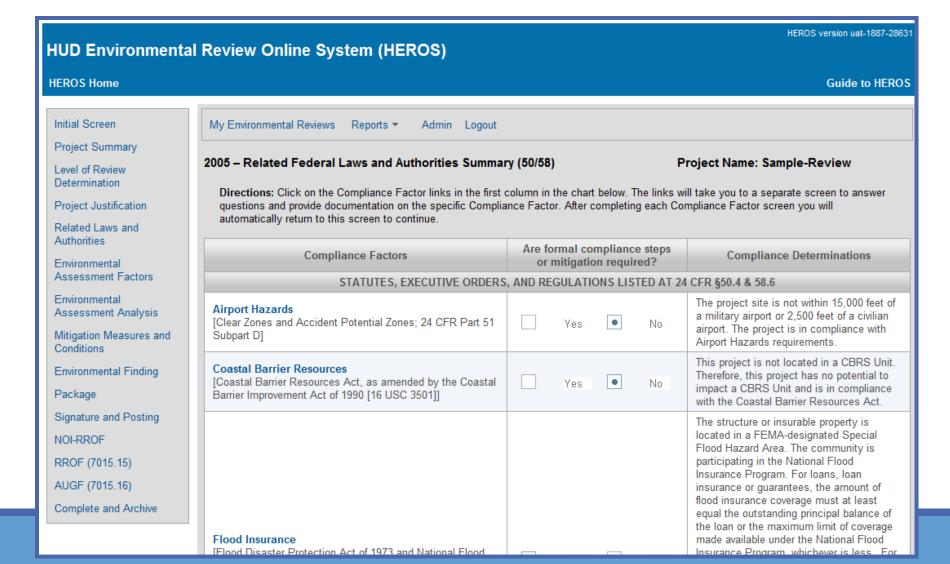
Determining Level of Review

1311 -Level of Review (58)	Project Name: Post-office-acquisition
What level of review is required by the scope of the proje	ect?
Exempt	
Select appropriate citation(s) from 24 CFR 58.34(a): (Check all the	at apply)
Environmental and other studies, resource identification and	the development of plans and strategies. 24 CFR 58.34(a)(1)
Information and financial services. 24 CFR 58.34(a)(2)	
Administrative and management activities. 24 CFR 58.34(a)	(3)
	in any physical changes, including but not limited to services concerned with employmen eling, energy conservation and welfare or recreational needs. 24 CFR 58.34(a)(4)
Inspections and testing of properties for hazards or defects.	24 CFR 58.34(a)(5)
Purchase of insurance, 24 CFR 58.34(a)(6)	
Purchase of tools. 24 CFR 58.34(a)(7)	
Engineering or design costs. 24 CFR 58.34(a)(8)	
Technical assistance and training. 24 CFR 58.34(a)(9)	
	to not alter environmental conditions and are limited to protection, repair, or restoration act s or imminent threats to public safety including those resulting from physical deterioration.
Payment of principal and interest on loans made or obligation	ns guaranteed by HUD. 24 CFR 58.34(a)(11)

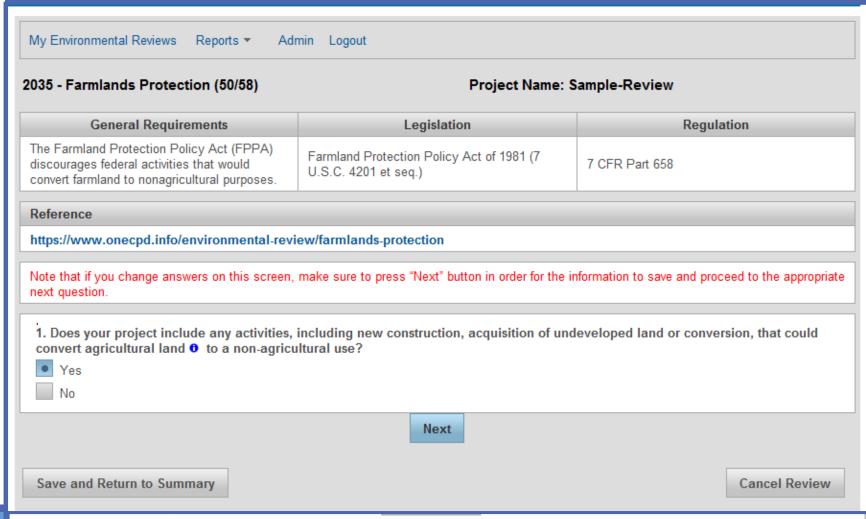
Categorical exclusion not subject to the Federal laws and authorities cited in §58.5 (CENST)

Select appropriate citation(s) from 24 CFR 58.35(b): (Check all that apply)

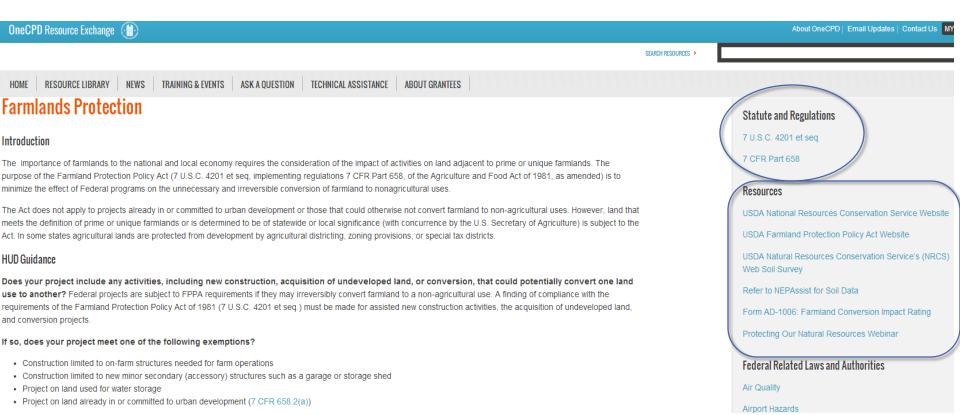
Performing Analysis (Summary)



Performing Analysis (58.5 & 58.6)



Performing Analysis (HUD Exchange)



https://www.hudexchange.info/environmental-review/

Performing Analysis (Mitigation)

My Environmental Reviews Re

Reports *

Admin Logout

5000 - Mitigation Measures and Conditions (50/58)

Project Name: Sample-Review

Before proceeding with this screen, review to ensure that you have completed all preceding screens. The mitigation measures and conditions below are generated from information provided in the Law and Authority and EA Factor screens, so it is important that all previous screens be finalized before continuing.

Review the mitigation measures and conditions required of this project below.

Law, Authority, or Fac	actor	Mitigation Measure or Condition
Flood Insurance		For loans, loan insurance or guarantees, the amount of flood insurance coverage must at least equal the outstanding principal balance of the loan or the maximum limit of coverage made available under the National Flood Insurance Program, whichever is less. For grants and other non-loan forms of financial assistance, flood insurance coverage must be continued for the life of the building irrespective of the transfer of ownership. The amount of coverage must at least equal the total project cost or the maximum coverage limit of the National Flood Insurance Program, whichever is less.
Farmlands Protection		The project will be modified to mitigate negative impacts to important farmland in the following ways

If no mitigation measures are required, you may continue to the next page 6. Otherwise, describe the Mitigation Plan below.

Mitigation Plan

Explain how the above mitigation measures and conditions will be carried out and monitored. Clearly identify both the persons responsible for implementing and monitoring mitigation measures and the timeframe in which they will be completed. These measures and conditions must be incorporated into project contracts, development agreements and other relevant documents. (40 CFR 1505.2(c))

Finalizing the Review (RROF/C)

7015.15 - Request for Release of Funds and Certification

Name and address of Responsible Entity:

Project Name: Fairview-Housing-Project

This form is to be used by Responsible Entities and Recipients (as defined in 24 CFR 58.2) when requesting the release of funds, and requesting the authority to use such funds, for HUD programs identified by statutes that provide for the assumption of the environmental review responsibility by units of general local government and States. Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

Upload the notice to the public in accordance with 24 CFR 58.70 here. If all required users are not able to complete this form within HEROS at this time, you may upload a completed 7015.15 form here as well.

Upload

DENVER

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	Part 1. Program Description and Request for Release of Funds (to be completed by Responsible Entity)		
	1. Program Title(s):		
	Community Development Block Grants (CDBG) (Entitlement)	,	^
			~
	HUD/State Identification Number: CDBG123		
	Recipient Identification Number (optional):		
	4. OMB Catalog Number(s): •		

Finalizing the Review (AUGF)

7015.16 – Authority to Use Grant Funds	Project Name: Fairview-Housing-Project-
Do not complete this screen until all appropriate waiting periods have elapsed.	
Were any objections received to releasing the funds?	
○ Yes	
No	
If yes, upload objections received and the response:	
If all required users are not able to complete this form within HEROS at this time or if the form here:	his review requires the approval of both HUD and a state agend
To: (Name & address of Grant Recipient & name & title of Chief Executive Officer)	
City and County Of Denver	
ROOM 350 Denver	
Colorado	
80202-5337	
Copy To: (name & address of SubRecipient)	
	^
We received your Request for Release of Funds and Certification, form HIID.7015 15 on	

Environmental Review Record



U.S. Department of Housing and Urban Development 451 Seventh Street, SW Washington, DC 20410 www.hud.gov espanol.hud.gov

Environmental Review for Activity/Project that is Categorically Excluded Subject to Section 58.5 Pursuant to 24 CFR Part 58.35(a)

Project Information

Project Name: Fairview Project

HEROS Number: 900000010000555

Responsible Entity (RE): Denver, Colorado

RE Preparer: John Doe

State / Local Identifier: Denver12345

Certifying Officer: Jane Smith

Grant Recipient (if different than Responsible Entity): N/A

Point of Contact:

Consultant (if applicable): N/A

Point of Contact:

Project Location: 123 Main Street, Denver, CO 80217

Additional Location Information:

The project site is a 3 acre lot at the northwest corner of Main Street and 1st Street.

Direct Comments to: Denver Environmental Staff

123 City Hall Blvd Denver, Colorado 80266

Description of the Proposed Project [24 CFR 50.12 & 58.32; 40 CFR 1508.25]:

Fairview Project

Denver, Colorado

900000010000555

Maps, photographs, and other documentation of project location and description:

900000010000555 sitemap.docx 900000010000555 sitephoto.JPG

Determination:

	This project converts to Exempt, per §58.34(a)(12) because there are no circumstances		
	which require compliance with any of the federal laws and authorities cited at §58.5		
✓	This project cannot convert to Exempt because there are circumstances which require		
	compliance with one or more federal laws and authorities cited at §58.5.		
	Extraordinary circumstances exist and this project may result in significant		
	environmental impact. This project requires preparation of an Environmental		
	Assessment (EA).		

Approval Documents:

900000010000555 RROF.pdf 900000010000555 publicnotice.docx

7015.15 certified by Certifying Officer on: 2/20/2014

7015.16 certified by Authorizing Officer on: 3/15/2015

Funding Information

Grant Number	HUD Program	Program Name	Funding Amount
CDBG12345	CPD	CDBG	\$1,000,000

Estimated Total HUD Funded Amount: \$1,000,000.00

Estimated Total Project Cost [24 CFR 58.2 (a) (5)]: \$2,000,000.00

Compliance with 24 CFR §50.4, §58.5 and §58.6 Laws and Authorities

Compliance Factors: Statutes, Executive Orders, and Regulations listed at 24 CFR §50.4, §58.5, and §58.6	Are formal compliance steps or mitigation required?	Compliance determination (See Appendix A for source determinations)
STATUTES, EXECUTIVE O	ORDERS, AND RE	GULATIONS LISTED AT 24 CFR

STATUTES, EXECUTIVE ORDERS, AND REGULATIONS LISTED AT 24 CFR §50.4 & §58.6

Airport Hazards
The project is in an Accident
Potential Zone (APZ), however, the

Estimated Total Project Cost [24 CFR 58.2 (a) \$30,000.00 (5)]:

Compliance Factors:		Compliance determination
Statutes, Executive Orders, and	Are formal	(See Appendix A for source
Regulations listed at 24 CFR §50.4.	compliance steps	determinations)
§58.5, and §58.6	or mitigation	determinations,
	required?	
STATUTES, EXECUTIVE ORDE	ERS, AND REGULATIO	NS LISTED AT 24 CFR §50.4 & § 58.6
		The project site is not within 15,000
		of a military airport or 2,500 feet of
		civilian airport. The project is in
		compliance with Airport Hazards
		requirements. This project activity is
		located within 2,500 feet of the end
		the DIA runway. There are no milita
		airfields within 15,000 feet of the Ci
Airport Hazards Clear Zonesand		and County of Denver, per the City a
Accident Potential Zones; 24 CFR		County of Denver's GIS Database.
Part 51 Subpart D	☐ Yes ☑ No	(Attachment E – Airport Map)
Coastal Barrier Resources Act		
Coastal Barrier Resources Act, as		This project is located in a state that
amended by the Coastal Barrier		does not contain CBRS units. Theref
Improvement Act of 1990 [16 USC		this project is in compliance with the
3501]	☐ Yes ☑ No	Coastal Barrier Resources Act.
		The structure or insurable property
		located in a FEMA-designated Speci
		Flood Hazard Area. The community
		participating in the National Flood
		Insurance Program. For loans, loan
		insurance or guarantees, the amour
		flood insurance coverage must at lea equal the outstanding principal bala
		of the loan or the maximum limit of
		coverage made available under the
		National Flood Insurance Program,
		whichever is less. For grants and ot
		non-loan forms of financial assistant
Flood Insurance Flood Disaster		flood insurance coverage must be
Protection Act of 1973 and National		continued for the life of the building
Flood Insurance Reform Act of 1994		irrespective of the transfer of
[42 USC 4001-4128 and 42 USC		ownership. The amount of coverage
5154al	☑ Yes □ No	must at least equal the total project

		or the maximum coverage limit of the
		National Flood Insurance Program,
		whichever is less. With flood insurance
		the project is in compliance with flood
		insurance requirements. Flood
		insurance will be required for this
		project.
STATUTES, EXECUTIVE ORDI	ERS, AND REGULATIO	NS LISTED AT 24 CFR §50.4 & § 58.5
		Based on the project description, this
		project includes no activities that would
Air Quality Clean Air Act, as		require further evaluation under the
amended, particularly section 176(c)		Clean Air Act. The project is in
& (d); 40 CFR Parts 6, 51, 93	☐ Yes ☑ No	compliance with the Clean Air Act.
		This project is located in a state that
		does not participate in the Coastal Zone
Coastal Zone Management Act		Management Program. Therefore, this
Coastal Zone Management Act,		project is in compliance with the Coastal
sections 307(c) & (d)	☐ Yes ☑ No	Zone Management Act.
sections 307(c)&(d)	LI TES EL INO	Site contamination was evaluated as
		follows: ASTM Phase I ESA. ASTM Phase
		,
		II ESA. On-site or nearbytoxic,
		hazardous, or radioactive substances
		were found that could affect the health
		and safety of project occupants or
		conflict with the intended use of the
		property. The adverse environmental
		impacts can be mitigated. With
		mitigation, identified in the mitigation
		section of this review, the project will be
		in compliance with contamination and
		toxic substances requirements. A Phase
		I and II Environmental Site Assessment
		was completed by Pinyon
		Environmental Inc, in May and
		September 2013. Contamination issues
		were identified in the Phase I and II,
		mitigation for will be required when the
		site is redeveloped. (Attachments J-
Contamination and Toxic		Sun Valley Redevelopment Phase I ESA
Substances 24 CFR 50.3(i) &		and K-Sun Valley Redevelopment Phase
58.5(i)(2)]	☑ Yes □ No	II ESA)
Endangered Species Act	2 103 2 110	This project will have No Effect on listed
Endangered Species Act of 1973.		species due to the nature of the
particularly section 7: 50 CFR Part		activities involved in the project. This
402	☑ Yes □ No	
402	EL TES LI NO	project is in compliance with the

EA-FOSI-12-9 Denver, CO 90000010001107

Coastal Barrier Resources

Coustai Barrier (Casouries			
	General requirements	Legislation	Regulation
	HUD financial assistance may not be	Coastal Barrier Resources Act	
	used for most activities in units of the	(CBRA) of 1982, as amended by	
	Coastal Barrier Resources System	the Coastal Barrier Improvement	
	(CBRS). See 16 USC 3504 for limitations	Act of 1990 (16 USC 3501)	
	on federal expenditures affecting the		
	CBRS.		

This project is located in a state that does not contain CBRA units. Therefore, this project is in compliance with the Coastal Barrier Resources Act.

Compliance Determination

This project is located in a state that does not contain CBRS units. Therefore, this project is in compliance with the Coastal Barrier Resources Act.

Supporting documentation

Are formal compliance steps or mitigation required?

Yes

✓ No

EA-FOSI-12-9 Denver, CO 900000010001107

Flood Insurance

General requirements	Legislation	Regulation
Certain types of federal financial assistance may not be	Flood Disaster	24 CFR 50.4(b)(1)
used in floodplains unless the community participates	Protection Act of 1973	and 24 CFR 58.6(a)
in National Flood Insurance Program and flood	as amended (42 USC	and (b); 24 CFR
insurance is both obtained and maintained.	4001-4128)	55.1(b).

1. Does this project involve <u>financial assistance for construction, rehabilitation, or acquisition of a mobile home, building, or insurable personal property?</u>

No. This project does not require flood insurance or is expected from flood insurance.

✓ Yes

2. Upload a FEMA/FIRM map showing the site here:

I - Current FIRM.png G - FIRM LOMR 3-12-14.png

The Federal Emergency Management Agency (FEMA) designates floodplains. The <u>FEMA Map Service Center</u> provides this information in the form of FEMA Flood Insurance Rate Maps (FIRMs). For projects in areas not mapped by FEMA, use the best available information to determine floodplain information. Include documentation, including a discussion of why this is the best available information for the site. Provide FEMA/FIRM floodplain zone designation, panel number, and date within your documentation.

Is the structure, part of the structure, or insurable property located in a FEMA-designated Special Flood Hazard Area?

No

✓ Yes

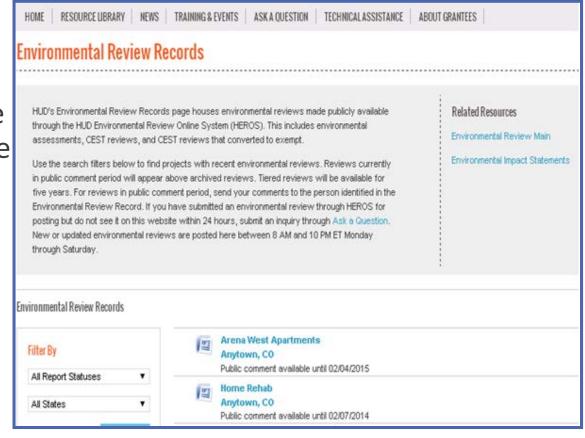
- 3. Is the community participating in the National Flood Insurance Program or has less than one year passed since FEMA notification of Special Flood Hazards?
 - Yes, the community is participating in the National Flood Insurance Program.

Based on the response, the review is in compliance with this section.

Posting to the HUD Exchange

Reviews will be posted during public comment periods.

Completed reviews will be archived on HUD Exchange for a year for standard reviews and 5 years for tiered reviews.



HEROS and IDIS



Questions?

Lauren B McNamara

CPD Environmental Clearance Officer

Lauren.B.McNamara@hud.gov

202-402-4466

HEROS on the HUD Exchange –

https://www.hudexchange.info/environmental-review/heros/